



Algorithms: Design  
and Analysis, Part II

# Minimum Spanning Trees

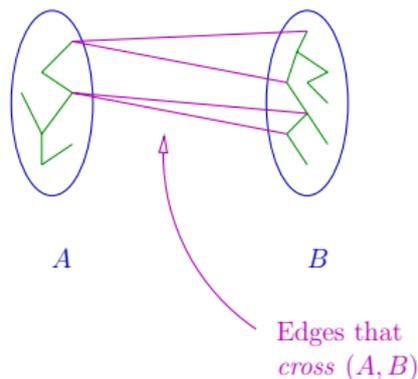
---

Correctness of Prim's  
Algorithm (Part I)

# Cuts

**Claim:** Prim's algorithm outputs a spanning tree.

**Definition:** A cut of a graph  $G = (V, E)$  is a partition of  $V$  into 2 non-empty sets.



# Quiz on Cuts

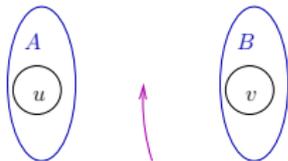
**Question:** Roughly how many cuts does a graph with  $n$  vertices have?

- A)  $n$     C)  $2^n$  (for each vertex, choose whether in  $A$  or in  $B$ )  
B)  $n^2$     D)  $n^n$

# Empty Cut Lemma

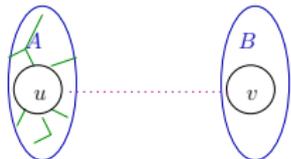
**Empty Cut Lemma:** A graph is not connected  $\iff \exists$  cut  $(A, B)$  with no crossing edges.

**Proof:** ( $\Leftarrow$ ) Assume the RHS. Pick any  $u \in A$  and  $v \in B$ . Since no edges cross  $(A, B)$  there is no  $u, v$  path in  $G$ .  $\Rightarrow G$  not connected.



No crossing edges, so no  $u - v$  path

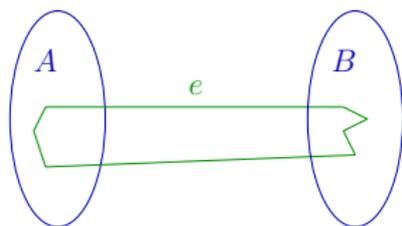
( $\Rightarrow$ ) Assume the LHS. Suppose  $G$  has no  $u - v$  path. Define  
 $A = \{\text{Vertices reachable from } u \text{ in } G\}$  ( $u$ 's connected component)  
 $B = \{\text{All other vertices}\}$  (all other connected components)  
Note: No edges cross cut  $(A, B)$  (otherwise  $A$  would be bigger!)



QED!

## Two Easy Facts

**Double-Crossing Lemma:** Suppose the cycle  $C \subseteq E$  has an edge crossing the cut  $(A, B)$ : then so does some other edge of  $C$ .



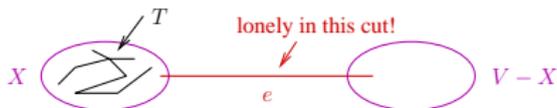
**Lonely Cut Corollary:** If  $e$  is the only edge crossing some cut  $(A, B)$ , then it is not in any cycle. [If it were in a cycle, some other edge would have to cross the cut!]

# Proof of Part I

**Claim:** Prim's algorithm outputs a spanning tree.

[Not claiming MST yet]

**Proof:** (1) Algorithm maintains invariant that  $T$  spans  $X$   
[straightforward induction - you check]



(2) Can't get stuck with  $X \neq V$

[otherwise the cut  $(X, V - X)$  must be empty; by Empty Cut Lemma input graph  $G$  is disconnected]

(3) No cycles ever get created in  $T$ . Why? Consider any iteration, with current sets  $X$  and  $T$ . Suppose  $e$  gets added.

**Key point:**  $e$  is the first edge crossing  $(X, V - X)$  that gets added to  $T \Rightarrow$  its addition can't create a cycle in  $T$  (by Lonely Cut Corollary). **QED!**