



Algorithms: Design  
and Analysis, Part II

## Approximation Algorithms for NP-Complete Problems

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Analysis of a Dynamic  
Programming Heuristic  
for Knapsack

# The Dynamic Programming Heuristic

**Step 1:** Set  $\hat{v}_i = \lfloor \frac{v_i}{m} \rfloor$  for every item  $i$ .

**Step 2:** Compute optimal solution with respect to the  $\hat{v}_i$ 's using dynamic programming.

**Plan for analysis:**

- (1) Figure out how big we can take  $m$ , subject to achieving a  $(1 - \epsilon)$ -approximation
- (2) Plug in this value of  $m$  to determine running time

# Quiz

**Question:** Suppose we round  $v_i$  to the value  $\hat{v}_i$ . Which of the following is true?

- A)  $\hat{v}_i$  is between  $v_i - m$  and  $v_i$
- B)  $\hat{v}_i$  is between  $v_i$  and  $v_i + m$
- C)  $m\hat{v}_i$  is between  $v_i - m$  and  $v_i$
- D)  $m\hat{v}_i$  is between  $v_i - m$  and  $v_i$

# Accuracy Analysis I

**From quiz:** Since we rounded down to the nearest multiple of  $m$ ,  $m\hat{v}_i \in [v_i - m, v_i]$  for each item  $i$ .

**Thus:** (1)  $v_i \geq m\hat{v}_i$ , (2)  $m\hat{v}_i \geq v_i - m$

**Also:** If  $S^*$  = optimal solution to the original problem (with the original  $v_i$ 's), and  $S$  = our heuristic's solution, then

$$(3) \quad \sum_{i \in S} \hat{v}_i \geq \sum_{i \in S^*} \hat{v}_i$$

[Since  $S$  is optimal for the  $\hat{v}_i$ 's] (recall Step 2)

# Accuracy Analysis II

$S$  = our solution,  $S^*$  = optimal solution

$$\sum_{i \in S} v_i \stackrel{(1)}{\geq} m \sum_{i \in S} \hat{v}_i \stackrel{(3)}{\geq} m \sum_{i \in S^*} \hat{v}_i \stackrel{(2)}{\geq} \sum_{i \in S^*} (v_i - m)$$

contains at most  $n$  items

Thus:  $\sum_{i \in S} v_i \geq (\sum_{i \in S^*} v_i) - mn$

Constraint:  $\sum_{i \in S} v_i \geq (1 - \epsilon) \sum_{i \in S^*} v_i$

To achieve above constraint: Choose  $m$  small enough that

$$mn \leq \epsilon \sum_{i \in S^*} v_i$$

unknown to algorithm, but definitely  $\geq v_{\max}$

Sufficient: Set  $m$  so that  $mn = \epsilon v_{\max}$ , i.e., heuristic uses  $m = \frac{\epsilon v_{\max}}{n}$

# Running Time Analysis

**Point:** Setting  $m = \frac{\epsilon v_{\max}}{n}$  guarantees that value of our solution is  $\geq (1 - \epsilon) \cdot \text{value of optimal solution}$ .

**Recall:** Running time is  $O(n^2 \hat{v}_{\max})$

**Note:** For every item  $i$ ,  $\hat{v}_i \leq \frac{v_i}{m} \leq \frac{v_{\max}}{m} = v_{\max} \frac{n}{\epsilon v_{\max}} = \frac{n}{\epsilon}$

Running time =  $O(n^3/\epsilon)$