



Algorithms: Design  
and Analysis, Part II

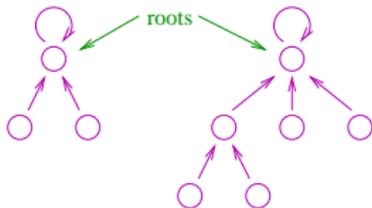
# Advanced Union-Find

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Union by Rank

# The Lazy Union Implementation

**New implementation:** Each object  $x \in X$  has a parent field.



**Invariant:** Parent pointers induce a collection of directed trees on  $X$ . ( $x$  is a root  $\iff$   $\text{parent}[x]=x$ )

**Initially:** For all  $x$ ,  $\text{parent}[x]=x$



**FIND( $x$ ):** Traverse parent pointers from  $x$  until you hit the root.

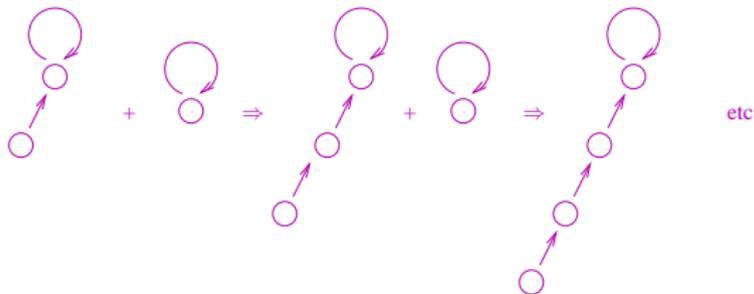
**UNION( $x, y$ ):**  $s_1 = \text{FIND}(x)$ ;  $s_2 = \text{FIND}(y)$ ; Reset parent of one of  $s_1, s_2$  to be the other.

# Quiz on Lazy Unions

**Question:** Suppose, in the UNION operation, we choose the new root arbitrarily from the two old ones. What is the worst-case running time of the FIND and UNION operations, respectively?

- A)  $\Theta(1), \Theta(1)$
- B)  $\Theta(\log n), \Theta(1)$
- C)  $\Theta(\log n), \Theta(\log n)$
- D)  $\Theta(n), \Theta(n)$

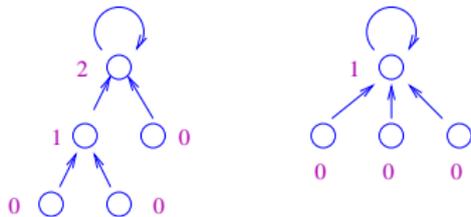
**Issue:** Scraggly trees:



# Union by Rank

**Ranks:** For each  $x \in X$ , maintain field  $\text{rank}[x]$ .

[In general  $\text{rank}[x]=1+(\text{max rank of } x\text{'s children})$ ]



**Invariant (for now):** For all  $x \in X$ ,  $\text{rank}[x]=\text{maximum number of hops from some leaf to } x$ .

[Initially,  $\text{rank}[x]=0$  for all  $x \in X$ ]

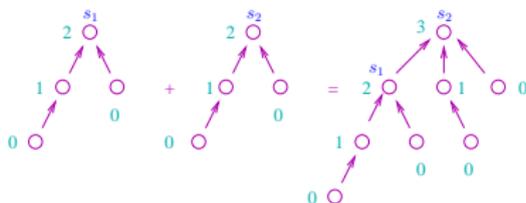
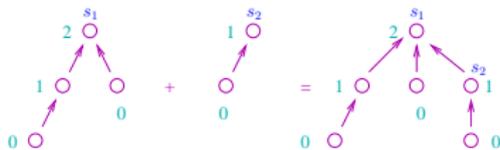
**To avoid scraggly trees ("Union by Rank"):** Given  $x$  &  $y$ :

- $s_1 = \text{FIND}(x)$ ,  $s_2 = \text{FIND}(y)$
- If  $\text{rank}[s_1] > \text{rank}[s_2]$  then set  $\text{parent}[s_2]$  to  $s_1$  else set  $\text{parent}[s_1]$  to  $s_2$ .

**To-do:** Update ranks to restore Invariant.

# Quiz on Rank Updates

**Question:** Recall  $s_1 = \text{FIND}(x)$ ,  $s_2 = \text{FIND}(y)$ . How do the ranks of  $s_1$  &  $s_2$  change after  $\text{UNION}(x, y)$ ?



- A) Unchanged
- B) The one with larger rank goes up by 1
- C) The one with smaller rank goes up by 1
- D) No change unless ranks of  $s_1, s_2$  were equal, in which case  $s_2$ 's rank goes up by 1