



Algorithms: Design  
and Analysis, Part II

# Minimum Spanning Trees

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Fast Implementation  
of Prim's Algorithm

# Running Time of Prim's Algorithm

- Initialize  $X = \{s\}$  [ $s \in V$  chosen arbitrarily]
- $T = \emptyset$  [invariant:  $X =$  vertices spanned by tree-so-far  $T$ ]
- While  $X \neq V$ 
  - Let  $e = (u, v)$  be the cheapest edge of  $G$  with  $u \in X, v \notin X$ .
  - Add  $e$  to  $T$ , add  $v$  to  $X$ .

Running time of straightforward implementation:

- $O(n)$  iterations [where  $n = \#$  of vertices]
  - $O(m)$  time per iteration [where  $m = \#$  of edges]
- $\Rightarrow O(mn)$  time

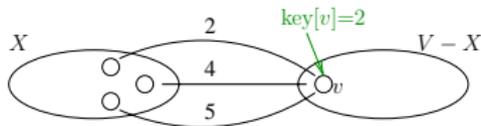
BUT CAN WE DO BETTER?

# Prim's Algorithm with Heaps

[Compare to fast implementation of Dijkstra's algorithm]

**Invariant #1:** Elements in heap = vertices of  $V - X$ .

**Invariant #2:** For  $v \in V - X$ ,  $\text{key}[v]$  = cheapest edge  $(u, v)$  with  $u \in X$  (or  $+\infty$  if no such edges exist).



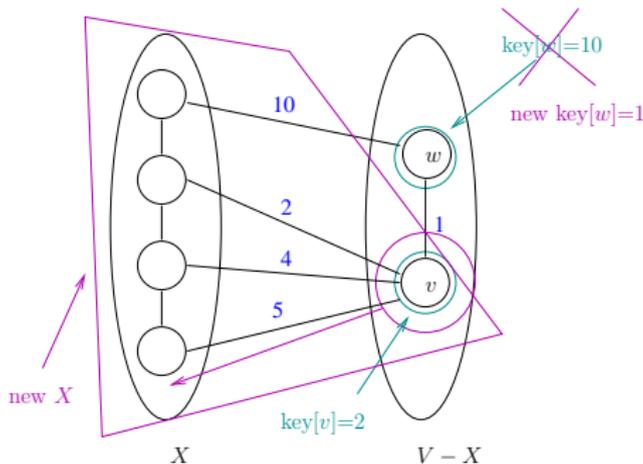
**Check:** Can initialize heap with  $O(m + n \log n) = O(m \log n)$  preprocessing.

To compare keys  $n - 1$  Inserts  $m \geq n - 1$  since  $G$  connected

**Note:** Given invariants, Extract-Min yields next vertex  $v \notin X$  and edge  $(u, v)$  crossing  $(X, V - X)$  to add to  $X$  and  $T$ , respectively.  $\diamond$

## Quiz: Issue with Invariant #2

**Question:** What is: (i) current value of  $\text{key}[v]$  (ii) current value of  $\text{key}[w]$  (iii) value of  $\text{key}[w]$  after one more iteration of Prim's algorithm?

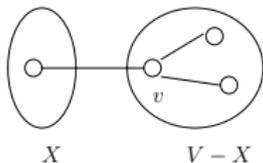


A) 11, 10, 4    C) 2, 10, 1

B) 2, 10, 10    D) 2, 10, 2

## Maintaining Invariant #2

**Issue:** Might need to recompute some keys to maintain Invariant #2 after each Extract-Min.



**Pseudocode:** When  $v$  added to  $X$ :

- For each edge  $(v, w) \in E$ :
  - If  $w \in V - X \rightarrow$  The only whose key might have changed (Update key if needed):
    - Delete  $w$  from heap
    - Recompute  $\text{key}[w] := \min\{\text{key}[w], c_{vw}\}$
    - Re-Insert into heap

**Subtle point/exercise:**

Think through book-keeping needed to pull this off



# Running Time with Heaps

- Dominated by time required for heap operations
  - $(n - 1)$  Inserts during preprocessing
  - $(n - 1)$  Extract-Mins (one per iteration of while loop)
  - Each edge  $(v, w)$  triggers one Delete/Insert combo  
[When its first endpoint is sucked into  $X$ ]
- $\Rightarrow O(m)$  heap operations [Recall  $m \geq n - 1$  since  $G$  connected]
- $\Rightarrow O(m \log n)$  time [As fast as sorting!]