



Design and Analysis  
of Algorithms I

# Data Structures

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Heaps: Some  
Implementation Details

# Heap: Supported Operations

- A container for objects that have keys
- Employer records, network edges, events, etc.

Insert: add a new object to a heap.

Running time :  $O(\log(n))$

Equally well,  
EXTRACT MAX



Extract-Min: remove an object in heap with a minimum key value. [ties broken arbitrarily]

Running time :  $O(\log n)$  [ $n = \#$  of objects in heap]

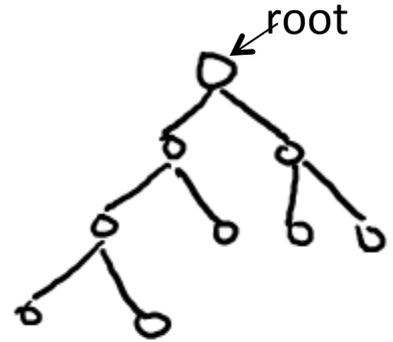
Also : **HEAPIFY** (  $\begin{matrix} n \text{ batched Inserts} \\ \text{in } O(n) \text{ time} \end{matrix}$  ), **DELETE** ( $O(\log(n))$  time)

# The Heap Property

Conceptually : think of a heap as a tree.  
-rooted, binary, as complete as possible

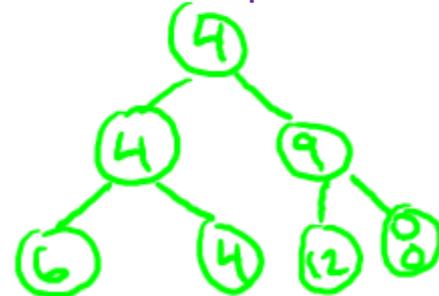
Heap Property: at every node  $x$ ,  
 $\text{Key}[x] \leq$  all keys of  $x$ 's children

Consequence : object at root must  
have minimum key value



A heap

alternatively



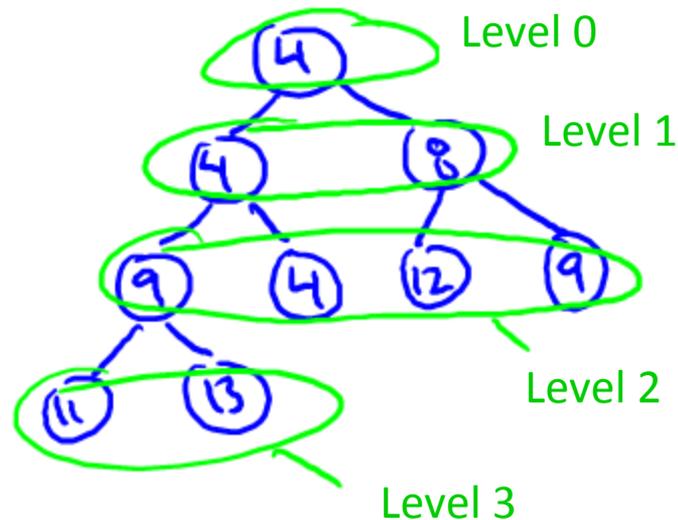
# Array Implementation



Note :  $\text{parent}(i) = i/2$  if  $i$  even  
           $= \lfloor i/2 \rfloor$  if  $i$  odd

i.e., round down

and children of  $i$  are  $2i, 2i+1$

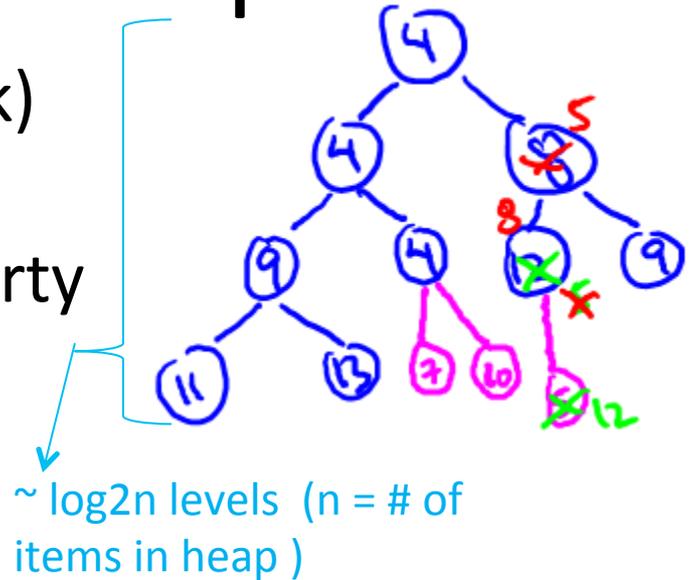


# Insert and Bubble-Up

Implementation of Insert (given key  $k$ )

Step 1: stick  $k$  at end of last level.

Step 2 : Bubble-Up  $k$  until heap property is restored (i.e., key of  $k$ 's parent is  $\leq k$ )



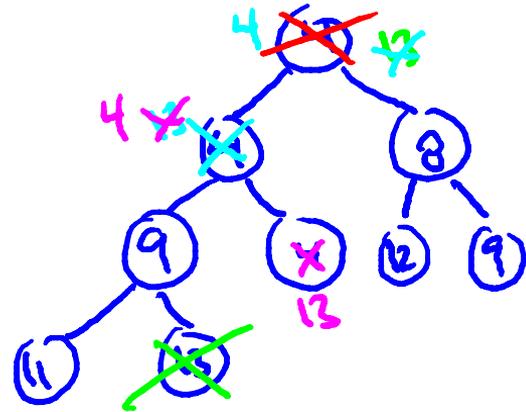
Check : 1.) bubbling up process must stop, with heap property restored  
2.) runtime =  $O(\log(n))$

# Extract-Min and Bubble-Down

## Implementation of Extract-Min

1. Delete root
2. Move last leaf to be new root.
3. Iteratively Bubble-Down until heap property has been restored

[always swap with smaller child!]



- Check :
- 1.) only Bubble-Down once per level, halt with a heap
  - 2.) run time =  $O(\log(n))$