

# COMS 4995 (Randomized Algorithms): Exercise Set #2

For the week of September 9–13, 2019

## Instructions:

- (1) *Do not turn anything in.*
- (2) The course staff is happy to discuss the solutions of these exercises with you in office hours or in the course discussion forum.
- (3) While these exercises are certainly not trivial, you should be able to complete them on your own (perhaps after consulting with the course staff or a friend for hints).

## Exercise 4

Let  $X, Y$  be independent random variables on the same probability space. Prove that

$$\mathbf{E}[X \cdot Y] = \mathbf{E}[X] \cdot \mathbf{E}[Y].$$

## Exercise 5

Give an example of three random events  $X, Y, Z$  for which any pair are independent but all three are not mutually independent.

## Exercise 6

Recall from Lecture #2 that an instance of MAX 3SAT is specified by  $n$  Boolean variables  $x_1, x_2, \dots, x_n$  and  $m$  clauses, where each clause is the OR of three distinct literals (and where a literal is either a variable or its negation), such as  $\neg x_1 \vee x_2 \vee \neg x_3$ . Recall also that a random truth assignment (chosen uniform at random from the  $2^n$  possibilities) satisfies, in expectation,  $\frac{7}{8}m$  of the clauses.

- (a) Prove that if there is at least one truth assignment that satisfies strictly less than 87.5% of the clauses, then there is also a truth assignment that satisfies strictly more than 87.5% of the clauses.
- (b) Exhibit an instance of MAX 3SAT for which *every* truth assignment satisfies exactly 87.5% of the clauses.

## Exercise 7

Consider  $n$  variables, each of which can be assigned any value in  $\{1, 2, \dots, q\}$ .<sup>1</sup> Consider  $m$  constraints, where a constraint consists of a subset of distinct variables and a collection of tuples of assignments to these variables that satisfy the constraint. (In 3SAT, every constraint can be regarded as a list of the 7 3-tuples of truth assignments to its variables that satisfy it.) This is an instance of a *constraint satisfaction problem (CSP)*.

Now suppose that every constraint involves exactly  $r$  variables<sup>2</sup> and lists exactly  $\ell$  tuples. (E.g., in MAX 3SAT,  $r = 3$  and  $\ell = 7$ .) What is the expected number of constraints satisfied by a uniformly random assignment (as a function of  $n, q, m, r$ , and  $\ell$ )?

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<sup>1</sup>The Boolean case corresponds to  $q = 2$ . The parameter  $q$  is sometimes called the *alphabet size*.

<sup>2</sup>Called an *arity- $r$  CSP* or simply  *$r$ -CSP*.

## Exercise 8

Recall from Lecture #2 that the .878-approximation guarantee for the Goemans-Williamson randomized hyperplane rounding algorithm boiled down to the following assertion:

$$\frac{\theta}{\pi} \geq .878 \cdot \frac{1}{2}(1 - \cos \theta)$$

for all  $\theta \in [0, \pi]$  or, equivalently, that

$$\min_{\theta \in [0, \pi]} \frac{2\theta}{\pi(1 - \cos \theta)} \geq .878.$$

Use your favorite plotting program (e.g., Matlab, Mathematica, GNUplot, etc.) to convince yourself that this assertion is true.

## Exercise 9

Recall from Lecture #3 that an  $n$ -vertex undirected graph has at most  $\binom{n}{2}$  minimum cuts.

Given an undirected graph  $G = (V, E)$  and two distinct vertices  $s, t \in V$ , an  $s$ - $t$  cut of  $G$  is a partition  $(A, B)$  of the vertex set  $V$  with  $s \in A$  and  $t \in B$ . The *value* of a cut is defined as before, as the number of edges with one endpoint in each of  $A$  and  $B$ .

Prove that the maximum number of minimum  $s$ - $t$  cuts of an  $n$ -vertex undirected graph grows exponentially with  $n$ .

[Hint: Use  $2(n-2)$  edges, with a minimum  $s$ - $t$  cut value of  $n-2$ .]

## Exercise 10

In a directed graph  $G = (V, E)$ , a *cut* is defined as an ordered partition of the vertex set  $V$  into two non-empty sets, the first set  $A$  and the second set  $B$ . The *value* of such a cut  $(A, B)$  is defined as the number of edges directed from  $A$  to  $B$  (i.e., edges going backward across the cut from  $B$  to  $A$  are not counted).

Prove that the maximum number of minimum cuts of an  $n$ -vertex directed graph grows exponentially with  $n$ .

[Hint: Start from the previous construction, and add many parallel arcs backward to force all minimum cuts to be  $s$ - $t$  cuts.]